

IN RE APPLICATION OF: Jan HEMMINGSSON

SERIAL NUMBER: NEW U.S. PCT APPLICATION(BASED ON PCT/SE98/02163)

FILED: HEREWITH

FOR: A ROTATING ELECTRIC MACHINE WITH A MAGNETIC CORE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached PTO Form 1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which contains subject matter related to that disclosed in the subject application and other bulk filing applications. Three copies of each of the listed references were provided to the Patent Office consistent with the requirements in the attached Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

■ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

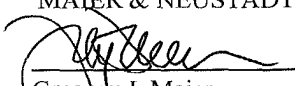
DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

Corrected 03/20/00

Docket Number:

9847-0001-6X PCT

Applicant(s):
MATS LEIJON ET AL

Filing Date:
FEBRUARY 17, 1999

Application Number

09/147-325

Group Art Unit:
2834

526 R

PCT/PTO

22 MAY 2000

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2006170	6/25/35	Gustof A. Juhlin			
	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
	15	US2241832	5/13/41	H.W. Wahlquist			
	16	US2251291	8/5/41	L. O. Reichelt			
	17	US2256897	9/23/41	W. F. Davidson et al			
	18	US2295415	9/8/42	G.R. Monroe			
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	20	US2424443	7/22/47	B. C. Evans			
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	22	US2446999	8/17/48	G. Camilli			
	23	US2459322	1/18/49	G. T. Johnston			
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	31	US2947957	8/2/60	J. C. Spindler			
	32	US2959699	11/8/60	J. W. Smith et al			
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	40	US3268766	8/23/66	S. E. Amos			
	41	US3304599	2/21/67	R. W/ Nordin			
	42	US3354331	11/21/67	H. L. Broeker et al			
	43	US3365657	1/23/68	James Webb			
	44	US3372283	5/5/68	A. A. Jaecklin			

Examiner

Date

Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

(Corrected Listing of Original List)

09/554914

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22 MAY 2000

45	US3418530	11/24/68	W. H. Cheever			
46	US3435262	3/25/69	R. B. Bennett et al			
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49	US3447002	5/27/69	C. Ronnevig			
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51	US3560777	2/2/71	W. Moeller			
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105	US4152615	5/1/79	R. Calfo et al			
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140	US4363612	10/12/82	R. Meyers			
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143	US4367425	1/4/83	M. Mendelsohn et al			
144	US4368418	1/11/83	F. P. Demello et al			
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172	US4565929	1/21/86	J. Baskin et al
173	US4588916	5/13/86	R. Lis
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175	US4594630	6/10/86	M. Rabinowitz et al
176	US4607183	8/19/86	J. Rieber et al
177	US4615109	10/7/86	M. Wcislo et al
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198	US4864266	9/5/89	L. Feather et al

Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

09/554914
22 MAY 2000

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207	US4949001	8/14/90	S. Campbell
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238	US5355046	10/11/94	K. Weigelt
239	US5365132	11/15/94	J. Hann et al
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241	US5397513	3/14/95	C. Steketee, Jr.
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245	US5500632	3/19/96	J. Halser, III
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247	US5530307	6/25/96	G. Horst
248	US5545853	8/13/96	N. Hildreth
249	US5550410	8/27/96	C. Titus
250	US5583387	12/10/96	M. Takeuchi et al

Examiner

Date
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526 PCT/PTO 22 MAY 2000

	251	US5587126	12/24/97	C. Steketee, Jr.			
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	259	US681800	9/3/01	O. Lasche			
Subtotal	259						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	AT399790	7/25/95	Austria		
	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
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	7	DD137164	8/15/79	Germany DDR		
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	13	DE2400698	7/10/75	Germany		
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	26	DE2939004	4/9/81	Germany		
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	28	DE3008818	9/10/81	Germany		
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	32	DE3309051	9/20/84	Germany		
	33	DE336418	6/23/20	Germany		
	34	DE3441311	5/15/86	Germany		
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	38	DE3726346	2/16/89	Germany		
	39	DE387973	1/9/24	Germany		

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43	DE4233558	3/31/94	Germany		
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42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43	

GRAND TOTAL	671	
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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Docket Number:

9847-0001-6X PCT

Application Number

09/554914
08/16/97, 325

Applicant(s):

MATS LEIJON ET AL

Filing Date:

FEBRUARY 17, 1999

Group Art Unit:

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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Subtotal

Examiner

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

09/554914

Issue2: dated 02/21/00

526 Re PCT/PTO 22 MAY 2000

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstien-gesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorps-gatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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Examiner

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**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**

09/554914
Issue2: dated 02/21/00

526 R PCT/PTC 22 MAY 2000

OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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**GRAND
TOTAL**

Examiner

**Date
Considered**

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